

PTO/SB/01 (12/97) Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket 7184-PA10 First Named Inventor Jeffrey J. Spiegelman **DECLARATION FOR** UTILITY OR DESIGN COMPLETE IF KNOWN PATENT APPLICATION Application Number Unknown Filing Date Herewith Declaration Declaration Unknown Group Art Unit Submitted with Submitted after Initial Filing Unknown Initial Filing **Examiner Name** As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHOD OF IDENTIFYING FLUID PURIFICATION EQUIPMENT WHICH IS OPTIMIZED FOR INDIVIDUAL FLUID PURIFICATION SYSTEMS (Title of the Invention) the specification of which is attached hereto OR was filed on (MM/DD/YYYY) as United States Application Number or PCT International and was amended on (MM/DD/YYYY) (if applicable.) Application Number I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56. I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. Certified Copy Attached? Foreign Filing Date Prior Foreign Application Country Priority Numbers (MM/DD/YYYY) Not Claimed NO Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto: I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below. Application Number(s) Filing Date (MM/DD/YYYY)

(Page 1 of 2)

Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto



DECLARATION - Utility or Design Patent Application

												<u> </u>	
I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior Unite d States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.													
			PCT Parent Number				Parent Filing Date (MM/DD/YYYY)			Parent Patent Number (if applicable)			
Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02								B attac	ched hereto.				
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Registered practitioner(s) name/registration number listed below:													
Name			Registration Number			Name				Registration Nu		tration Number	
NEIL F. MARTIN JOHN L. HALLER JAMES W. MCCLAIN			23,088 27,795 24,536			ELEANOR M. MUSICK				35,623			
Direct all correspondence to:													
Attorney Name	JAMES W. N	AMES W. MCCLAIN											
Address	BROWN, MA	BROWN, MARTIN, HALLER & McCLAIN, LLP											
Address	1660 UNION	660 UNION STREET											
City	SAN DIEGO	N DIEGO State CALIF				FORNIA ZIP				92101			
Country	USA	Telephone			(619)	(619) 238-0999			Fax	x ((619) 238-0062	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.													
NAME OF SOLE OR	FIRST INVEN	ITOR:		A petition	has been	filed for this	unsigned inv	entor/					
Given Name (first and middle [if any])						Last Name							
Jeffrey J.						Spiegelman							
Inventor's Signature	or's Signature			17/			Date 8 . 24. 00						
Residence: City	La (Jolla)			State	CA	Country	us		Citize	Citizenship US			
Post Office Address	5440 Belle	5440 Bellevue Avenue											
Post Office Address													
City	La Jolla			State	CA		Zip	92037		Count	ry	US	
NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor													
Given Name (first and middle [if any])						Last Name	t Name						
Inventor's Signature							Date						
Residence: City			State			Country	ountry Cit			tizenship			
Post Office Address													
Post Office Address													
City				State			Zip			Country			
Additional Inv	entors are bei	ng name	d on the	supplem	ental Ado	itional Inver	ntor(s) sheet	t(s) PTO	/SB/02	A attache	d here	to.	





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Under the Paperwork Reduction Act of 1995	, no persons are required to respond to a collection of i	nformation unless it displays a valid OMB control number						
STATEMENT CLAIMING SMALL EN (37 CFR 1.9(f) & 1.27 (c)) — SMALL		Docket Number: 7184-PA10						
Applicant, Patentee, or Identifier: Jeffrey J. Spiegelman								
Application or Patent No.:	Unknown							
Filed or Issued:	Herewith							
Title: METHOD OF IDENTIFYING FLUID PURIFICATION EQUIPMENT WHICH IS OPTIMIZED FOR INDIVIDUAL FLUID PURIFICATION SYSTEMS								
I hereby state that I am:								
the owner of the small business concern identified below:								
x an official of the small business concern empowered to act on behalf of the concern identified below:								
NAME OF SMALL BUSINESS CONCERN Aeronex, inc.								
ADDRESS OF SMALL BUSINESS	CONCERN 6975 Flanders Drive, S	an Diego, CA 92121						
I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year, and (2) concerns are affiliates of each when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in: X the specification filed herewith with title as listed above.								
the application identified above.								
the patent identified above.								
If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).								
Each person, concern, or organization having any rights in the invention is listed below:								
X No such person, concern, or organization exists.								
Each such person, concern, or organization is listed below.								
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27) I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)).								
NAME OF PERSON SIGNING								
TITLE OF PERSON IF OTHER TH								
ADDRESS OF PERSON SIGNING		A 92121						
SIGNATURE DATE 8 /7 4 / ve								
1 J Y \	/) /	1 T = = =						